

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		10675783
Filing Date		2003-09-30
First Named Inventor	Levine et al.	
Art Unit	2182	
Examiner Name	Unassigned	
Attorney Docket Number	AUS920030486US1	

U.S.PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6542985		2003-04-01	Johnson et al.	
	2	4316245		1982-02-16	Luu et al.	
	3	7181723		2007-02-20	Luk et al.	
	4	6961925		2005-11-01	Callahan, II et al.	
	5	5950003		1999-09-30	Kaneshiro et al.	
	6	5212794		1993-05-18	Pettis et al.	
	7	5659679		1997-08-19	Alpert et al.	
	8	6006033		1999-12-21	Heisch	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10675783
Filing Date		2003-09-30
First Named Inventor	Levine et al.	
Art Unit		2182
Examiner Name	Unassigned	
Attorney Docket Number		AUS920030486US1

	9	6119075		2000-09-12	Dean et al.	
	10	6189141	B1	2001-02-13	Benitez et al.	
	11	6237141	B1	2001-05-22	Holzle et al.	
	12	6351844	B1	2002-02-26	Bala	
	13	6505292	B1	2003-01-07	Witt	
	14	6631514	B1	2003-10-07	Le	
	15	5794028		1998-08-11	Tran	
	16	5950009		1999-09-07	Bortnikov et al.	
	17	5950003		1999-09-07	Kaneshiro et al.	
	18	5581778		1996-12-03	Chin et al.	
	19	5752062		1998-05-12	Gover et al.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	10675783
Filing Date	2003-09-30
First Named Inventor	Levine et al.
Art Unit	2182
Examiner Name	Unassigned
Attorney Docket Number	AUS920030486US1

20	6446029	B1	2002-09-03	Davidson et al.	
21	5970439		1999-10-19	Levine et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S.PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20040194076	A1	2004-09-01	Comp et al.	
	2	20020073406	A1	2002-06-13	Gove	
	3	20030014741	A1	2003-01-16	Megiddo et al.	
	4	20020157086	A1	2002-10-24	Lewis et al.	
	5	20030040955	A1	2003-02-27	Anaya et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

FOREIGN PATENT DOCUMENTS

Remove

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² ;	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T ⁵
-------------------	---------	--------------------------------------	-----------------------------	------------------------	------------------	---	--	----------------

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10675783
Filing Date	2003-09-30
First Named Inventor	Levine et al.
Art Unit	2182
Examiner Name	Unassigned
Attorney Docket Number	AUS920030486US1

	1							<input type="checkbox"/>
--	---	--	--	--	--	--	--	--------------------------

If you wish to add additional Foreign Patent Document citation information please click the Add button [Add](#)

NON-PATENT LITERATURE DOCUMENTS

[Remove](#)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	RAMIREZ et al., "The Effect of Code Reordering on Branch Prediction", Proceedings of the International Conference on Parallel Architectures and Compilation Techniques, October 2000, pp. 189-198.	<input type="checkbox"/>
	2	YANG et al., "Improving Performance by Branch Reordering", Proceedings of the ACM SIGPLAN 1998 Conference on Programming Language Design and Implementation, Montreal Canada, 1008, pp. 130-141.	<input type="checkbox"/>
	3	CONTE et al., "Accurate and Practical Profile-Driven Compilation Using the Profile Buffer", Proceedings of the 29th Annual ACM/IEEE International Symposium on Microarchitecture, Paris, France, 1996, pp. 36-45.	<input type="checkbox"/>
	4	CONTE et al., "Using Branch Handling Hardware to Support Profile-Driven Optimization", Proceedings of the 27th Annual International Symposium on Microarchitecture, San Jose CA, 1994, pp. 12-21.	<input type="checkbox"/>
	5	FISHER, "Trace Scheduling: A Technique for Global Microcode Compaction", IEEE Transactions on Computers, Vol. C30, No. 7, July 1981, pp. 478-490.	<input type="checkbox"/>
	6	CHANG et al., "Using Profile Information to Assist Classic Code Optimizations", Software Pract. Exper. 21, December 1991, pp. 1301-1321.	<input type="checkbox"/>
	7	SCHMIDT et al., "Profile-Directed Restructuring of Operating System Code", IBM Systems Journal, 1998, Vol. 37, No. 2, pp. 270-297.	<input type="checkbox"/>
	8	AHO et al., "Compilers: Principles, Techniques, and Tools", Addison-Wesley, 1988, pp. 488-497.	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10675783
Filing Date	2003-09-30
First Named Inventor	Levine et al.
Art Unit	2182
Examiner Name	Unassigned
Attorney Docket Number	AUS920030486US1

	9	INTEL, "Intel IA-64 Architecture Software Developer's Manual", Revision 1.1, Vol. 4, No. 245320.002, July 2001.	<input type="checkbox"/>
--	---	---	--------------------------

If you wish to add additional non-patent literature document citation information please click the Add button

Add

EXAMINER SIGNATURE

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10675783
Filing Date	2003-09-30
First Named Inventor	Levine et al.
Art Unit	2182
Examiner Name	Unassigned
Attorney Docket Number	AUS920030486US1

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Gerald H. Glanzman/	Date (YYYY-MM-DD)	2007-03-27
Name/Print	Gerald H. Glanzman	Registration Number	25,035

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.